Photo-fragmentation of the closo-carboranes Part 1:

Energetics of Decomposition

Danqin Feng,¹ Jing Liu,¹ Adam P. Hitchcock,²* A.L. David Kilcoyne,³ Tolek Tyliszczak,³ Norman F. Riehs,⁴ Eckart Rühl,⁴* John D. Bozek,⁵ David McIlroy⁶ and Peter A. Dowben¹*

- 1) Dept. of Physics and Astronomy and the Nebraska Center for Materials and Nanoscience, University of Nebraska-Lincoln, Lincoln, NE 68588-0111 USA, e-mail: pdowben@unl.edu
- 2) Dept. of Chemistry, McMaster University, Hamilton, Ontario, Canada, L8S 4M1, e-mail: aph@mcmaster.ca
- Advanced Light Source, Lawrence-Berkeley Laboratory, One Cyclotron Road, Berkeley, CA 94720-8225 USA, e-mail: TTyliszczak@lbl.gov; ALKilcoyne@lbl.gov
- 4) Physikalische und Theoretische Chemie, Institut für Chemie und Biochemie, Freie Universität Berlin, Takustr. 3, 14195 Berlin, Germany, e-mail: ruehl@chemie.fu-berlin.de
- 5) Stanford Linear Accelerator Center, 2575 Sand Hill Road, Mail Stop 18, Menlo Park CA 94025-7015 USA, e-mail: jdbozek@slac.stanford.edu
- 6) Department of Physics, Engineering and Physics Bldg., University of Idaho, Moscow ID 83844-0903 USA, e-mail: dmcilroy@uidaho.edu

RECEIVED DATE (to be automatically inserted after your manuscript is accepted)

TITLE RUNNING HEAD: Photo-fragmentation of the closo-carboranes

CORRESPONDING AUTHOR FOOTNOTE: P. A. Dowben, 116 Brace Lab, Department of Physics

and Astronomy, University of Nebraska-Lincoln, Lincoln, NE 68588-0111 USA, Phone: 402-472-9838;

Fax: (402) 472-2879, e-mail: pdowben@unl.edu

ABSTRACT

The ionic fragmentation following B 1s and C 1s excitation of three isomeric carborane cage

compounds [closo-dicarbadodecaboranes: orthocarborane $(1,2-C_2B_{10}H_{12})$, metacarborane $(1,7-C_2B_{10}H_{12})$,

and paracarborane (1,12-C₂B₁₀H₁₂)], is compared with the energetics of decomposition. The

fragmentation yields for all three molecules are quite similar. Thermodynamic cycles are constructed for

neutral and ionic species in an attempt to systemically characterize single ion closo-carborane creation

and fragmentation processes. Lower energy decomposition processes are favored. Among the ionic

species, the photon induced decomposition is dominated by BH+ and BH2+ fragment loss. Changes in

ion yield associated with core to bound excitations are observed.

KEYWORDS: decomposition, ionic fragmentation, closo-carboranes, molecular icosahedra.

BRIEFS: The ionic fragmentation following B 1s and C 1s photo-excitation of the three isomeric

carborane cage compounds [closo-dicarbadodecaboranes: orthocarborane (1,2-C₂B₁₀H₁₂), metacarborane

 $(1,7-C_2B_{10}H_{12})$, and paracarborane $(1,12-C_2B_{10}H_{12})$] has been investigated.

2

1. Introduction

The ability to generate semiconducting grades of boron carbide by plasma enhanced chemical vapor phase decomposition and deposition (PECVD) of carboranes permits the development of corrosion resistant, high temperature boron carbide semiconductor devices with many applications including neutron detection [1-7]. It is now clear that these boron carbides, of approximate stoichiometry " $C_2B_{10}H_x$ " (where x represents up to ~5% molar fraction of hydrogen), exhibit a range of electronic properties (e.g. p-type or n-type [1,8] and differing band gaps [9]) presumably as a result of differing electronic structures originating in differences in polytype (molecular structure) [1,8-9]. It has been observed that the majority carrier in one " $C_2B_{10}H_x$ " boron carbide semiconductor relative to another, and the concomitant placement of the Fermi level within the semiconducting gap, appears to "mirror" the relative placement of the free molecule chemical potential relative to the Fermi level for molecular films [10] of the corresponding source compound (i.e. the pertinent *closo*-carborane decomposed to form the semiconductor [1,8,10,11].

If the adsorbate dipole moment indeed influences the molecular orbital alignment for the adsorbed molecular films, we suggest that decomposition of the *closo*-carboranes, to form the "C₂B₁₀H_x" boron carbide semiconductor does not result in complete fragmentation of the icosahedral cage, as might be suggested by cluster calculations [12], and the resulting carrier concentration is influenced, at least somewhat, by space charge layers. Thus from the observed materials properties, one might infer that complete dissociation of the molecular icosahedra does not occur in the plasma decomposition of the closo-carboranes. Regrettably, relatively little is known about the detailed decomposition mechanisms of the closo-carboranes [12].

The B 1s and C 1s oscillator strength spectra of closo-carboranes provides only the starting point and necessary spectroscopic background for understanding the changes of the fragmentation yields with photon energy. In prior studies of the closo-carboranes, the B 1s and C 1s excitation spectra of

orthocarborane, metacarborane, and paracarborane were recorded with both dipole regime electron impact and synchrotron radiation [13]. This work explores the photo-ion fragmentation processes of the closo carboranes. Multiple ion creation and molecular fission is certainly possible, and highly likely at energies above the core thresholds, and will be more directly addressed in subsequent paper(s).

The modeling of molecular decomposition processes in chemical vapor deposition (CVD) is particularly valuable in developing a clear picture of CVD. Density functional theory and semiempirical methods have both been used to calculate the energetics of closo-carborane decomposition. Thermodynamic cycles have been used to elucidate the mechanisms of part of electron- and photon-induced decomposition pathways for the three different isomers of *closo*-dicarbadodecaboranes: orthocarborane $(1,2-C_2B_{10}H_{12})$, metacarborane $(1,7-C_2B_{10}H_{12})$, and paracarborane $(1,12-C_2B_{10}H_{12})$. All three isomers have icosahedral-like structures, differing only in the placement of the two carbons in the icosahedra, as shown in Figure 1.

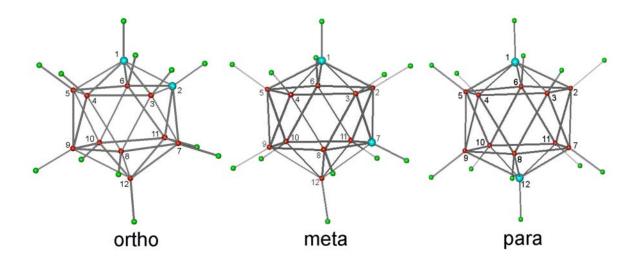


Fig. 1 The structures of closo-1,2-orthocarborane, closo-1,7-metacarborane and closo-1,12-paracarborane ($C_2B_{10}H_{12}$) and their atom numbering schemes.

2. Experimental and Theoretical Details

All the isomers of C₂B₁₀H₁₂, i.e. orthocarborane (closo-1,2-dicarbadodecaborane or 1,2-

C₂B₁₀H₁₂), metacarborane (*closo*-1,7-dicarbadodecaborane or 1,7-C₂B₁₀H₁₂), paracarborane (*closo*-1,12-dicarbadodecaborane or 1,12-C₂B₁₀H₁₂), were purchased from either Katchem or Aldrich or prepared using the procedures described in reference [13]. All solvents (tetrahydrofuran, pentane, and diethyl ether) were reagent grade or better and were distilled from the appropriate drying agents (Na) under a dry nitrogen atmosphere prior to use. After drying, all organic solvents were degassed with a dry nitrogen stream and then by repeated freeze-thaw cycles, and resublimed, with purity in all cases confirmed by NMR spectroscopy then stored *in vacuo* prior to use. Deuterated solvents were used as received and, after degassing, were stored over 4 Å molecular sieves prior to use. The commercially available anhydrous chemicals were used either as received or purified by the method indicated and, where possible, were stored over 4 Å molecular sieves prior to use. The identity and purity of all compounds were determined by nuclear magnetic resonance (NMR), infrared spectroscopy (IR), and mass spectral measurements and compared with literature values. NMR spectra were obtained on a Bruker AVANCE400 operating at ¹H 400.1 MHz, ¹³C 100.6 MHz, ¹¹B 128.38 MHz. Proton and carbon spectra were referenced to solvent, boron spectra to an insert of BF₃.Et₄O.

The photoexcitation spectra were recorded using both total electron yield and total ion yield detection at beam-line 9.0.1 of the Advanced Light Source [14], as described previously [13]. The beam-line consisted of a spherical grating monochromator illuminated by the radiation from an undulator. Rather narrow entrance and exit slits were used - typically $\sim 10~\mu m$. The photon resolution was better than 0.1 eV fwhm.

In investigating the ionic fragmentation, a time-of-flight mass spectrometer was used [14a]. The time-of-flight mass spectrometer consisted of a two stage acceleration region separated by grids followed by a 30 cm drift tube with a multichannel plate detector for ion detection. Wiley-McLaren focusing conditions were used [15]. A -250 V/cm extraction field was used for the ions. Under these conditions, splitting was not detected for any of the mass peaks, indicating there was negligible

distortion of the yields due to loss of high kinetic energy ions, although isotopic and $Y-H_x$ distributions tend to blur any such effects, except for H^+ . The overall efficiency for ion detection is estimated to be about 15%. The start of the flight time scale was the signal from an electron accelerated by a field of +250 V/cm to a channeltron adjacent to the ionization region.

The ground state energies for a variety of carborane clusters were calculated using a semiempirical method PM3 as well as with density function theory (DFT) using standard 6-31 G* basis set and the Perdew-Wang 91 exchange correlation potential [16]. Both the semiempirical and *ab initio* calculations were geometry optimization to obtain lowest unrestricted Hartree-Fock (UHF) energy states. The ground state geometries of these carborane clusters were optimized using energy minimization with semiempirical method PM3 before the DFT calculations, to save on computational time. The total energy is better estimated using DFT although there are serious deficiencies in using *ab initio* DFT to model electronic structure of the carboranes [10,16]. Although correctly assessing the strength of the exchange and correlation interactions in the formation of the highest occupied (HOMO) to lowest unoccupied (LUMO) molecular orbitals gaps is a problem in DFT, this is one of the better approaches to estimating the chemical energetics.

3. Ionic Fragmentation of the closo-carboranes

To identify selectivity in fragmentation processes, quantitative yields are required, and thus it is important to understand the relationship between measured signals and the true partial photoionization cross section. The photoionization cross-section for the boron and carbon sites can be seen from the photoionization total yield spectra of closo-carboranes, as is shown in Figure 2, and previously described [13]. From the comparison of B 1s and C 1s oscillator strength spectra of closo-metacarborane, we can see the spectra of the three closo-carboranes are relatively similar. The B 1s spectra of all isomers are dominated by the strong resonance centered near 192 eV. At higher resolution,

an isomer-dependent fine structure is visible within this band, indicating that the B 1s spectra are sensitive to differences in the electronic structure of the isomers [13]. For orthocarborane the 192 eV band was assigned [13,17] to overlap of excitations to the 10a" and 17a' molecular orbitals from all the B 1s orbitals (in the point group C_s symmetry). The other prominent B 1s spectral features are two broad bands located in the B 1s continuum (197 and 202 eV). In the para and meta isomers there is a distinct shoulder at 195 eV that is not seen in orthocarborane. The higher energy continuum transitions in orthocarborane have been attributed to excitations to antibonding σ^* orbitals of unspecified symmetry [13,17]. A more sophisticated treatment of the electronic structure and spectroscopy has been undertaken elsewhere [13]. Surprisingly, the B 1s spectrum for orthocarborane in Figure 2 is very much like the untreated (native) NEXAFS spectra of semiconducting boron carbide [18], suggesting that at the very least, there are large icosahedral fragments in boron carbide.

The C 1s photoionization total yield spectra of the isomeric carboranes are also presented in Figure 2, again using the high-resolution total ion yield (TIY). The energies, term values, and proposed assignments, as with the B 1s spectra have been previously assigned [13,17]. As with the B 1s spectra, there are subtle but significant and interpretable [13] differences in the C 1s spectra of these isomers. The intense lowest energy feature in the 287.9 - 288.7 eV range occurs at different energies for each of the three isomers. In addition, the spectra also differ in shape above 290 eV excitation energy [13]. These differences between the isomers, however, appear to have little influence on the qualitatively similar fragmentation processes observed for all three isomers.

We have measured the X-ray photoemission spectra of condensed carborane molecular films, as described elsewhere [10]. The core level binding energies, with respect to the Fermi level of a reference metallic electrode, are a rough a guide to the core level thresholds of 188.7±0.2 eV for the B 1s threshold, as determined from the XPS in the inset to Figure 2.

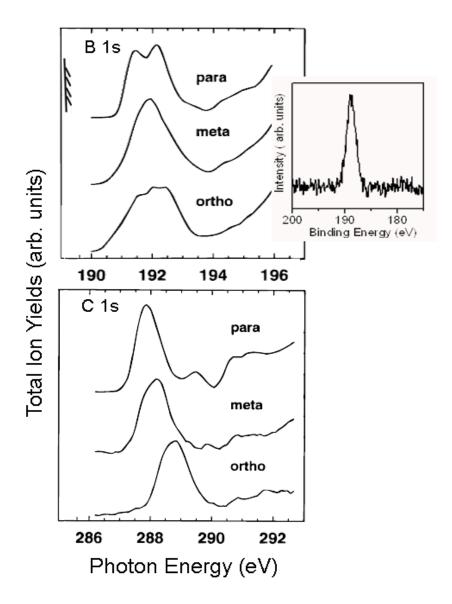


Fig. 2 The total ion yield at the B 1s and C 1s cores for *closo*-dicarbadodecaborane: orthocarborane $(1,2-C_2B_{10}H_{12})$, metacarborane $(1,7-C_2B_{10}H_{12})$, and paracarborane $(1,12-C_2B_{10}H_{12})$, as a function of photon energy, with the B 1s threshold of 188.9 eV, determined from XPS. In the inset, the X-ray photoemission spectrum (XPS) of the B 1s core, from condensed molecular films of orthocarborane is illustrated. The Fermi level was established from the clean, well ordered Cu(111) single crystal and Au(111) thin film substrates before deposition.

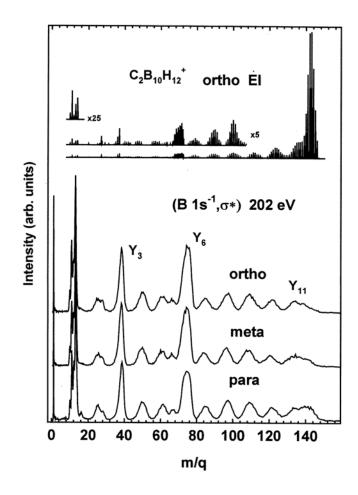


Fig. 3 Time-of-flight (TOF) mass spectra of the isomeric carboranes taken at a photon energy of 202 eV (B 1s $\rightarrow \sigma^*$ transition). The peaks correspond to cluster fragments with all possible numbers of vertices, symbolized as Y_n^+ where Y represents BH or CH. The top spectrum is from electron impact, using electron kinetic energies of 70 eV (well below the core threshold).

Time-of-flight ion mass spectra of the three carborane isomers obtained with both electron impact and photon ionization are shown above in Figure 3. As seen in Figure 3, the most dramatic difference between the electron impact and the photoionization mass spectra is in the parent ion yield. The fragmentation yields differ significantly from those following valence ionization using 70 eV electron impact and 202 eV incident photons, but do not vary greatly in different B 1s states. By contrast the parent ion, with some contribution from fragment ions involving loss of one H atom, is by far the dominant signal in the electron impact mass spectrum. Indeed the high stability of the parent ion produced by electron impact valence shell ionization has been noted in previous discussions of the mass

spectra of the carboranes [19]. At 70 eV impact energy fully 74% of all ions observed have the mass of the parent ion, missing possible only the mass of a hydrogen or two [20]. In contrast, under our detection conditions the parent ion yield is only ~3% below the onset of B 1s core excitation and drops to less than 1% above the B 1s ionization potential (IP). The TOF signal is associated with Y_x^+ ions (where Y = (BH) or (CH)), with all possible x-values, although with particularly prominent yields of Y_3^+ and Y_6^+ . Note that because of the 20:80 ^{10}B : ^{11}B relative abundance, each ion peak (except for the Y_1^+ signal, shown in detail in Figure 4) is actually a family of peaks which are not resolved in the TOF spectra.

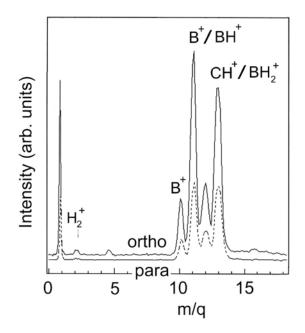


Fig. 4 An enhanced time-of-flight (TOF) mass spectra in the region of m/q= 0-18, adapted from Fig. 3. Note that in this display, peak height is not a reliable indicator of peak intensity.

Above the core excitation threshold (in the region of 188.9 eV for the B 1s threshold) the very low parent ion yield in the photoionization measurements might be considered to be a consequence of core hole decay leading to extensive ionic fragmentation, including large amounts of multiple ionization, almost all of which ends up as ion pairs. However, the parent ion yield is also very small below 188 eV, in the region of valence ionization, where a greater similarity to the electron impact mass

spectrum might be expected. In part this can be attributed to use of a photon energy well above the valence double ionization threshold (~35 eV) whereas the 70 eV electron impact creates primarily singly ionized states (since electron impact cross sections typically are strong only a few times above threshold). In addition, another important fact is that our TOF system has enhanced sensitivity to low energy electrons which distorts the ion yields in favor of the double ionization events which produce two electrons, one of which has low kinetic energy.

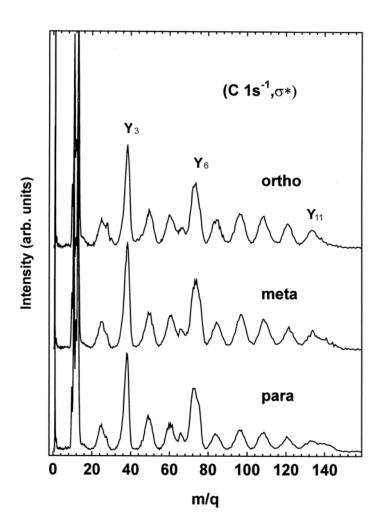


Fig. 5 TOF mass spectra of the isomeric carboranes photoionized at the peak in the 290-292 eV region (C 1s \rightarrow σ^* transition) recorded under the same extraction conditions as used for Fig. 3. Spectral data has been processed to remove the underlying B 1s contribution which was estimated by adjusting the intensity of the 280 eV TOF spectrum to that of the extrapolated B 1s continuum intensity at 290-292 eV.

Figure 5 is the TOF mass spectra of the three carboranes with a photon energy of 290-292 eV, on the peak of the C 1s \rightarrow σ^* transition which is believed to be the C 1s counterpart to the B 1s \rightarrow σ^* transition at 202 eV. Since the C 1s excitation signal is a relatively small proportion of the total photoionization at the 290-292 eV energies used to record the data in Figure 3, the ion yields are largely dominated by the >70% contribution from high energy B 1s ionization. The underlying B 1s background signal has been subtracted to get a better picture of the fragmentation processes following C1s excitation and ionization.

As with the B 1s excitation, the spectra of the three isomeric species are quite similar to each other, with the greatest difference being a significantly greater contribution from Y_{11}^+ species in the metacarborane and orthocarborane derived species. There is a very sharp H^+ production at the C 1s edge, which is not as strong in the B 1s edge. Overall the C 1s and B 1s spectra are quite similar, with the most notable difference being a relatively more prominent contribution from the Y_3^+ and Y_6^+ species in the C 1s than the B 1s region, as well as a much larger yield of the lightest fragments, H^+ , $^{10}BH^+/^{11}B^+(m/q=11)$ and $CH^+/^{10}BH_3^+/^{11}BH_2^+(m/q=13)$. These latter signals for the orthocarborane species are off-scale in Figure 5.

The sharp edge of *closo*-carboranes seen in the B 1s region, as shown in Figure 1, are also seen in the ion fragment yields illustrated Figure 6. The following parent and fragment species were identified in the mass spectrum: H⁺, ¹⁰B⁺, ¹⁰BH⁺/ ¹¹B⁺(m/q=11), ¹¹BH⁺, and CH⁺/¹⁰BH₃⁺/ ¹¹BH₂⁺(m/q=13) *etc*. Among the smaller mass fragments, the ¹⁰BH⁺/¹¹B⁺(m/q=11) and CH⁺/¹⁰BH₃⁺/ ¹¹BH₂⁺(m/q=13) species exhibited higher intensities, as did H⁺ (m/q=1). ¹¹BH⁺ (m/q=12) was among the smaller intensity fragments, as indicated in Figure 4. There are also possible H₂⁺ fragments in the ion yield from the carborane samples, as seen in Figure 4, but the H₂⁺ fragment yields (if any) at the higher photon energies are quite low. From the ion yield, we know CH⁺/¹⁰BH₃⁺/ ¹¹BH₂⁺(m/q=13) loss is facile, as compared to BH⁺ loss, as seen in Figures 3-5. Even among the electron impact data, among the small ion fragments,

 $CH^{+/10}BH_3^{+/11}BH_2^{+}(m/q=13)$ is more abundant than the BH^{+} fragment [20].

The partial ion yields and branching ratios for the lighter (and more significant) ion fragments in the B 1s region for the three isomeric species are plotted in Fig. 6 and 7 respectively. Again, para-, meta- and ortho-carboranes spectra are quite similar, with the main variations being changes in the detailed line shape and partial overlap of BH⁺ and H⁺ branching ratios and partial ion yields for metacarborane. When the signals from the ions $CH^{+/10}BH_3^{+/11}BH_2^{+}$ (m/q=13), H⁺ and $^{11}B^{+/10}BH^{+}$ (m/q=11) are compared, the strong signal is associated with $CH^{+/10}BH_3^{+/11}BH_2^{+}$ (m/q=13), followed by B⁺ and H⁺. The changes in ion yield are most dramatic at the absorption core threshold below about 192 eV for the B 1s, but also increase dramatically at the ionization limits, as determined by XPS [17,21] and excitations to antibonding σ^* orbitals of unspecified symmetry [13,17], at energies above 194 eV, as noted above. The core ionization energy is approximately the core level binding energy of Figure 2 plus the energy difference between the chemical potential and the vacuum level, the latter being the work function of the condensed phase, i.e. 188.7(+0.2 eV) + 5.5(+1) eV or about 194 eV.

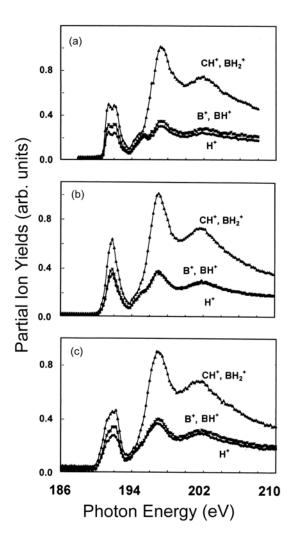


Fig. 6 Ion yield data for the major ion fragments of *closo*-1,2-orthocarborane (a), *closo*-1,7-metacarborane (b), *closo*-1,12-paracarborane (c) derived from sequences of TOF mass spectra recorded in the B 1s region using the same conditions as used for Fig. 3.

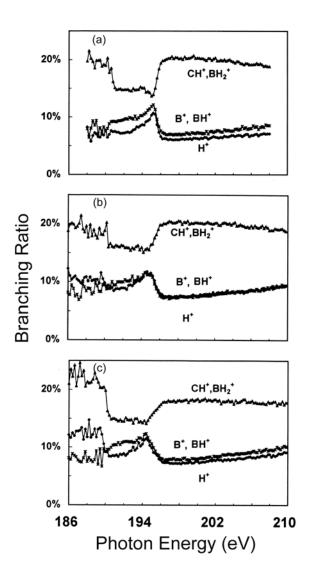


Fig. 7 Branching ratio for the major ion fragments of for *closo*-1,2-orthocarborane (a), *closo*-1,7-metacarborane (b), *closo*-1,12-paracarborane (c) derived from sequences of TOF mass spectra recorded in the B 1s region using the same conditions as used for Fig. 3.

For the heavier Y_x^+ ion (where Y = (BH) or (CH)) fragments, the ion yields and branching ratio have been plotted for orthocarborane (Figure 8) and paracarborane (Figure 9). Y_3 and Y_6 are the most prominent in both cases, although the branching ratios differ somewhat from one isomer to the next. These heavy ion yields also change dramatically at excitations to antibonding σ^* orbitals of unspecified symmetry above 194 eV [13,17]. Regrettably, a direct relationship between the excitation to specific unoccupied orbitals and the ion fragment yield cannot be determined from this data.

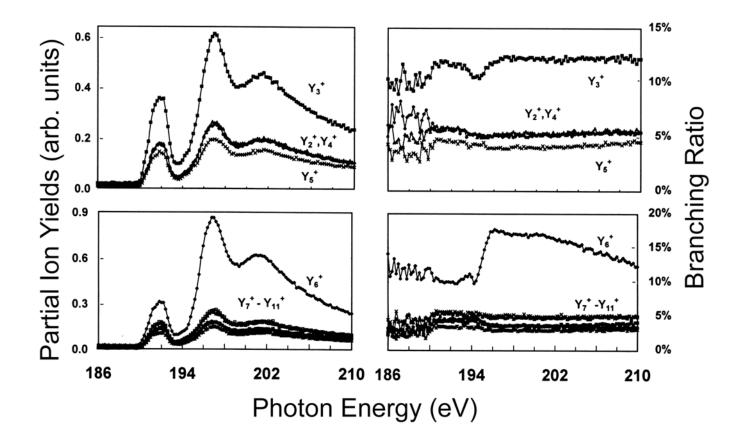


Fig. 8 Ion yield and branching ratio data for Y_x^+ ions (where Y = (BH) or (CH), as indicated in Figure 3) fragments of *closo*-1,2-orthocarborane derived from sequences of TOF mass spectra recorded in the B 1s region using the same conditions as used for Fig. 3.

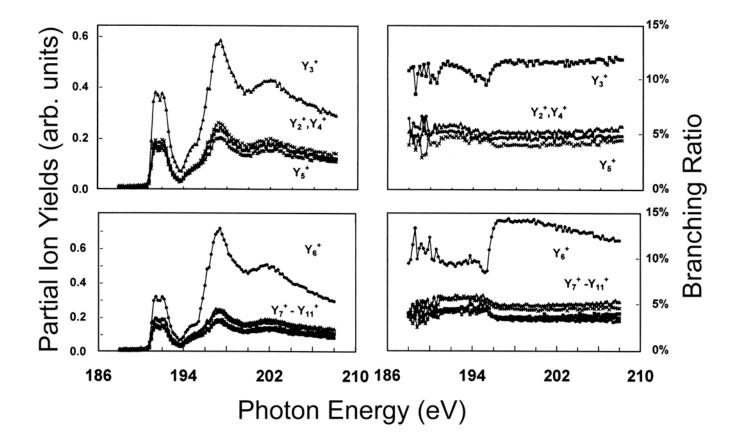


Fig. 9 Ion yield and branching ratio data for Y_x^+ ions (where Y = (BH) or (CH), as indicated in Figure 3) fragments of *closo*-1,12-paracarborane derived from sequences of TOF mass spectra recorded in the B 1s region using the same conditions as used for Fig. 3.

In spite of differences in the heavy Y_x^+ ion (where Y = (BH) or (CH)) fragment yields, overall there is little difference among the partial yields of the isomeric species and relatively little change in individual ion or ion pair yields, which are a result of fission of the doubly or multiply charged molecules, aside from a major step up or step down in specific channels at the onsets of B 1s core excitation and ionization [13,17]. These changes at the ionization threshold are qualitatively consistent with changes in the estimated photoionization efficiency [13]. Thus any selectivity among these three species which may exist with regard to properties of boron carbide films prepared by X-ray assisted CVD is more likely to be associated with specificity of the chemistry of fragments or due to different relaxation processes (which are not known) rather than selectivity in the initial excitation.

At issue is the origin for the very high production of $CH^{+/10}BH_3^{+/11}BH_2^{+}$ (m/q=13) fragments in the photo-fragmentation process. This can be understood, in part, from the energetics associated with photoionization and fragmentation. As seen in Figure 7, however, these yields also increase where excitations to antibonding σ^* orbitals of unspecified symmetry occur [13,17], at energies above 194 eV. The application of energetics to the heavy Y_x^+ ion (where Y = (BH) or (CH)) fragment yields (Figures 8 and 9) is more difficult as specific fragment ion identification is fraught with difficulties based on the data presented here, but again these yields also increase where excitations to antibonding σ^* orbitals of unspecified symmetry occur, at energies above 194 eV.

Single photoion creation is relatively more straightforward to model, but is generally best applicable near the appearance and ionization potentials. Beginning at the near edge regime, there are numerous complications to be considered, including matrix element effects associated with excitations to antibonding σ^* orbitals. Of course, as noted in the introduction, multiple ion fragmentation is not only possible, but likely above the B 1s threshold. Such multiple photoion creation would involve a molecular fission process, with complex thermodynamic considerations. These complications will be addressed in a subsequent paper.

4. Energetics of closo-carborane decomposition

The measured yields depend on the ionization process and associated fragmentation (Figure 3), e.g. electron impact versus photoionization. The dominant signal is loss of one H atom for the electron impact mass spectrum while for photoionization mass spectra, the majority of the TOF signal are associated with $(B/BH)_x^+$, $CH^+/^{10}BH_3^+/^{11}BH_2^+$ (m/q=13) or $(CH)_x^+$ ions. In order to understand the difference yields in the core level resonant photoionization processes and evaluate the thermal stability of the closo-carboranes, the energetics for several reactions which involve loss of small fragment ions of carbon, boron and hydrogen atoms have been calculated for all three parent *closo*-carboranes using both

the PM3 semiempirical model and DFT, as shown in Figures 10-12. There are many (energetically) different site symmetry combinations of boron, hydrogen, carbon atoms that are candidates for ion (and neutral) fragmentation. This is especially true for the meta- and ortho-carboranes because of their lower symmetry structures (C_{2v} instead of D_{5d}).

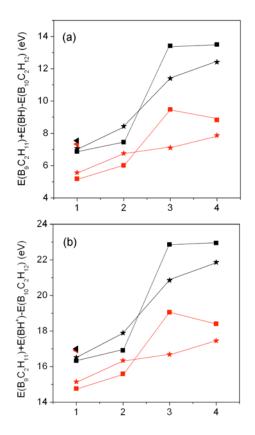


Fig. 10 Calculated ion fragmentation energies for BH (a) and BH⁺ (b) from *closo*-1,2-orthocarborane (stars), *closo*-1,7-metacarborane (squares), *closo*-1,12-paracarborane (triangles) using the PM3 semiempirical method (red) and density functional theory (DFT) (black). 1, 2, 3 and 4 represent boron hydrogen bond remove from site (2 or 3), (5 or12), (9 or 10) and (4,6,8 or11) for *closo*-1,7-metacarborane accordingly and (3 or 6); (9 or 12); (8 or 10) or (4, 5, 7, 11) for *closo*-1,2-orthocarborane accordingly, using the numbering scheme in the Figure 1.

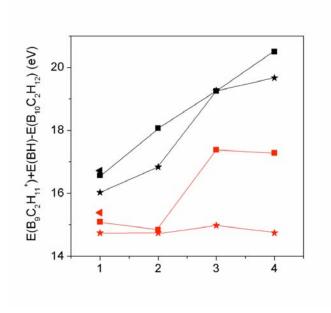


Fig. 11 Calculated ion fragmentation energies for $B_9C_2H_{11}+$ from *closo*-1,2-orthocarborane (stars), *closo*-1,7-metacarborane (squares), *closo*-1,12-paracarborane (triangles) using the PM3 semiempirical method (red) and density functional theory (DFT) (black). 1, 2, 3 and 4 represent carbon hydrogen bond remove from site (5 or12); (2 or 3); (9 or 10) and (4,6,8 or11) for closo-1,7-metacarborane accordingly and (3 or 6); (9 or 12); (8 or 10) or (4, 5, 7 or11) for closo-1,2-orthocarborane accordingly, using the numbering scheme in the Figure 1.

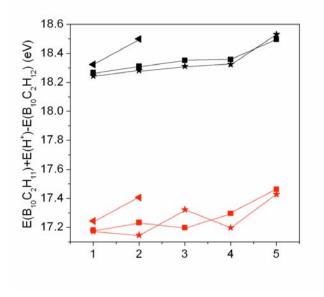


Fig. 12 Calculated ion fragmentation energies for H⁺ from *closo*-1,2-orthocarborane (stars), *closo*-1,7-metacarborane (squares), *closo*-1,12-paracarborane (triangles) computed using the PM3 semiempirical method (red) and density functional theory (DFT) (black). 1, 2, 3,4 and 5 represent hydrogen remove from site (9 or 10), (4, 6, 8 or 11), (5 or12), (2 or 3) and (1 or 7) for closo-1,7-metacarborane accordingly and (8 or 10); (9 or 12); (3 or 6); (4, 5, 7 or 11) or (1 or 2) for closo-1,2-orthocarborane accordingly, using the numbering scheme in the Figure 1. For *closo*-1,12-paracarborane, 1 represent hydrogen removed from boron atom sites, while 2 are carbon atom sites.

The symmetrically distinct possibilities for closo-carboranes are associated with different energies, as illustrated in Figures 10-12, where the fragmentation energies are plotted in ascending order, as ascertained using DFT. Also shown for comparison are values obtained using the semiempirical PM3 approach, which differ significantly in absolute energies, but typically show the same trends as DFT, as seen from Figures 10-12. As expected, the fragmentation energies of the three isomeric species are quite similar, consistent with the photoionization and fragmentation experimental results. For ortho-carboranes, the minimum energies to remove atoms are typically near the site of carbon atoms except for the loss of H⁺ from orthocarborane. In the case of meta-, and para-carboranes, loss of H⁺ from sites near the carbon are favored. In the production of H₂, it has already been noted that the initial state site does play a role, with pairwise (adjacent sites) H loss is favored, with one site including a carbon atom [12]. The absence of H₂⁺ in the data can be understood as the formation of this ion fragment is very energy expensive requiring 28.5 eV or more per molecule (2750 KJ/mole).

Based on the DFT, energetics of closo-carboranes fragmentation and fragment ionization have been used to construct thermodynamic cycles to illustrate the reaction energies, as shown in Figure 13. Each value is the minimum energy calculation among all possible symmetrically inequivalent possibilities. The choice of thermodynamic cycles is based on the observed ion fragmentations, removing CH, CH⁺,BH, BH₂, BH₂⁺, H₂, H, H⁺, BCH₃, BCH₃⁺ from each of the three isomers of the closo-carboranes, as has been undertaken by us for far more simple parent molecular species like CX₄ (X= F, Cl, Br, I) [22], the substituted metallocenes [23] and others [24].

The calculated energetics are consistent with the formation of the ${}^{11}BH_2^+$ over CH⁺ or ${}^{11}BH^+$. While we cannot distinguish CH⁺/ ${}^{10}BH_3^+$ / ${}^{11}BH_2^+$, the most probable formation paths of BH_2^+ fragment ions are:

$$B_{10}C_2H_{12} \rightarrow B_9C_2H_{10} + BH_2^+ + e$$

at an energy cost of about 0.5 eV per molecule (approximately 48 KJ/mole) less than is the case for the most probable formation paths of BH⁺ fragment ions, which are:

$$B_{10}C_2H_{12} \rightarrow B_9C_2H_{10} + BH^+ + e$$

and at an energy cost of about 2.5 to 3.5 eV per molecule (240 to 338 KJ/mole) less again than is the case for the most probable formation paths of CH⁺ fragment ions, which are:

$$B_{10}C_2H_{12} \rightarrow B_9C_2H_{10} + CH^+ + e$$

as ΔH_p (paracarborane), ΔH_m (metacarborane), and ΔH_o (orthocarborane) where for the foremost reaction, the energies are 16.52 eV, 15.46 eV and 15.62 eV respectively (1594 KJ/mole, 1492 KJ/mole and 1507 KJ/mole respectively). Based on these values and those summarized in Figure 13, the favored fragmentations, based on energetic considerations alone, are BH_2^+ (by about 0.5 to 0.9 eV per molecule or 48 to 87 KJ/mole) > BH^+ (by about 1 to 2 eV per molecule or 96 to 193 KJ/mole) > H^+ (by about 1.6 to 2.3 eV per molecule or 154 to 222 KJ/mole) > CH^+ for all the closo-carboranes.

The thermodynamic cycles indicate the most likely ion species are BH₂⁺ and B₉C₂H₁₁⁺. The latter may be consistent with decomposition initiated by core-to bound photo excitations, illustrated in Figures 3-4 and 6-9, but we are limited by the fact that we cannot distinguish BH₂⁺ and CH⁺ in our data. Given the large size of some of the ion fragments, kinetic barriers to the fragment ion formation may be a significant hindrance, as could be symmetry [22], and this has not been considered in the calculations undertaken here. More importantly, above the core threshold, molecular fission and multiple ion fragment production is likely [25]. A similar problem is evident in that H⁺ production is more intense than BH⁺ well below the B 1s core threshold (as determined by XPS in Figure 2) inconsistent with the

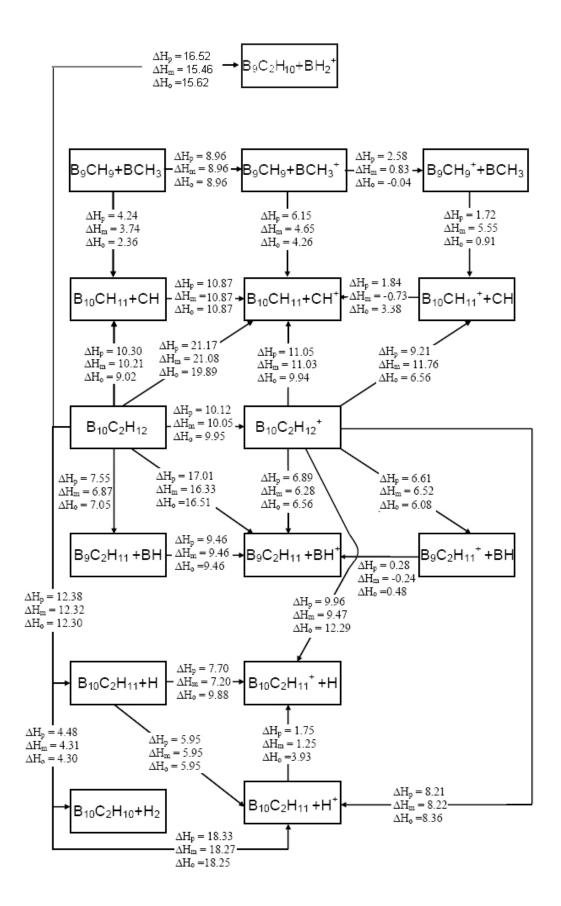


Fig. 13 Energetics of closo-1,2-orthocarborane (E_o), closo-1,7-metacarborane (E_m) and closo-1,12-paracarborane (E_p) neutral and ion fragmentation. All energies were calculated using density functional theory and given in units of eV/molecule.

energetics of ion fragmentation, that slightly favors BH⁺ production over H⁺, as is observed in the region of the B1s threshold and above.

Excited state lifetimes or excitations to specific unoccupied molecular orbitals may play a significant role in the ion fragmentation yields, as is suggested by the strong photon energy dependence of the ion fragmentation yields (Figures 6-9). Kinetic barriers to fragmentation are certainly not considered in these energetic calculations.

Conclusion:

Although we cannot distinguish between CH+/10BH3+/11BH2+ in the TOF mass spectroscopy of the photo-fragmentation in the region of the B 1s and C1s core thresholds, the energetics of decomposition favors the formation of BH2+ rather than the mass equivalent CH+. The possible long lived bound core excitations result in the high H+ and B+ production, but the strong variations in fragment ion yields with photon energy implicate kinetic and symmetry barriers to some ion fragment formation. In addition, a direct relationship between the excitation to specific unoccupied orbitals and the ion fragment yield cannot be determined from this data and is not evident from the present results. As a result, energetics alone is not a reliable guide to fragmentation yields, particularly above the B 1s core threshold where multiple fragment creation from the photo-fragmentation is likely. It is believed there is significant bias towards low energy electrons and thus events in which step-wise electronic decay occurs, giving rise both to copious fragmentation and slow electrons.

As plasma enhanced CVD is the method of choice for fabricating semiconducting boron carbides, the chemistry of the CVD process is necessarily complex. Photoionization at X-ray energies in the region of B 1s and C 1s edges is very effective at inducing molecular fragmentation and thus a study

of ion yields at these photon energies may give some insight into the fragmentation mechanisms. Since both plasma enhanced chemical vapor deposition and white light synchrotron initiated decomposition are methods used to deposit semiconducting boron carbides, both ion and neutral fragmentation pathways may be involved in the CVD process, but extra molecular interactions may also occur in such processes, as part of the deposition processes. Here we have attempted to characterize ionic fragmentation of the singly charged closo-carboranes. Double and multiple ion fragmentation almost certainly does occur in the core level regime, and must be explored as well.

ACKNOWLEDGMENT: This work was financially supported by the Natural Sciences and Engineering Research Council of Canada, the National Science Foundation through grant CHE-0415421, CHE-0650453 and ECS 0725881. We thank the J.T. Spencer, N.M. Boag, J. Choi, and the staff of the Advanced Light Source (funded by DoE) for their assistance.

FIGURE CAPTIONS

Fig. 1 The structures of closo-1,2-orthocarborane, closo-1,7-metacarborane and closo-1,12-paracarborane ($C_2B_{10}H_{12}$) and their atom numbering schemes.

Fig. 2 The total ion yield at the B 1s and C 1s cores for *closo*-dicarbadodecaborane: orthocarborane (1,2-C₂B₁₀H₁₂), metacarborane (1,7-C₂B₁₀H₁₂), and paracarborane (1,12-C₂B₁₀H₁₂), as a function of photon energy, with the B 1s threshold of 188.9 eV, determined from XPS. In the inset, the X-ray photoemission spectrum (XPS) of the B 1s core, from condensed molecular films of orthocarborane is illustrated. The Fermi level was established from the clean, well ordered Cu(111) single crystal and Au(111) thin film substrates before deposition.

Fig. 3 Time-of-flight (TOF) mass spectra of the isomeric carboranes taken at a photon energy of 202 eV (B 1s \rightarrow σ^* transition). The peaks correspond to cluster fragments with all possible numbers of vertices, symbolized as Y_n^+ where Y represents BH or CH. The top spectrum is from electron impact, using electron kinetic energies of 70 eV (well below the core threshold).

Fig. 4 An enhanced time-of-flight (TOF) mass spectra in the region of m/q= 0-18, adapted from Fig. 3. Note that in this display, peak height is not a reliable indicator of peak intensity.

Fig. 5 TOF mass spectra of the isomeric carboranes photoionized at the peak in the 290-292 eV region (C 1s \rightarrow σ^* transition) recorded under the same extraction conditions as used for Fig. 3. Spectral data has been processed to remove the underlying B 1s contribution which was estimated by adjusting the

intensity of the 280 eV TOF spectrum to that of the extrapolated B 1s continuum intensity at 290-292 eV.

Fig. 6 Ion yield data for the major ion fragments of *closo*-1,2-orthocarborane (a), *closo*-1,7-metacarborane (b), *closo*-1,12-paracarborane (c) derived from sequences of TOF mass spectra recorded in the B 1s region using the same conditions as used for Fig. 3.

Fig. 7 Branching ratio for the major ion fragments of for *closo*-1,2-orthocarborane (a), *closo*-1,7-metacarborane (b), *closo*-1,12-paracarborane (c) derived from sequences of TOF mass spectra recorded in the B 1s region using the same conditions as used for Fig. 3.

Fig. 8 Ion yield and branching ratio data for Y_x^+ ions (where Y = (BH) or (CH), as indicated in Figure 3) fragments of *closo*-1,2-orthocarborane derived from sequences of TOF mass spectra recorded in the B 1s region using the same conditions as used for Fig. 3.

Fig. 9 Ion yield and branching ratio data for Y_x^+ ions (where Y = (BH) or (CH), as indicated in Figure 3) fragments of *closo*-1,12-paracarborane derived from sequences of TOF mass spectra recorded in the B 1s region using the same conditions as used for Fig. 3.

Fig. 10 Calculated ion fragmentation energies for BH (a) and BH⁺ (b) from *closo*-1,2-orthocarborane (stars), *closo*-1,7-metacarborane (squares), *closo*-1,12-paracarborane (triangles) using the PM3

semiempirical method (red) and density functional theory (DFT) (black). 1, 2, 3 and 4 represent boron hydrogen bond remove from site (2 or 3), (5 or12), (9 or 10) and (4,6,8 or11) for *closo*-1,7-metacarborane accordingly and (3 or 6); (9 or 12); (8 or 10) or (4, 5, 7, 11) for *closo*-1,2-orthocarborane accordingly, using the numbering scheme in the Figure 1.

Fig. 11 Calculated ion fragmentation energies for $B_9C_2H_{11}+$ from *closo*-1,2-orthocarborane (stars), *closo*-1,7-metacarborane (squares), *closo*-1,12-paracarborane (triangles) using the PM3 semiempirical method (red) and density functional theory (DFT) (black). 1, 2, 3 and 4 represent carbon hydrogen bond remove from site (5 or12); (2 or 3); (9 or 10) and (4,6,8 or11) for closo-1,7-metacarborane accordingly and (3 or 6); (9 or 12); (8 or 10) or (4, 5, 7 or11) for closo-1,2-orthocarborane accordingly, using the numbering scheme in the Figure 1.

Fig. 12 Calculated ion fragmentation energies for H⁺ from *closo*-1,2-orthocarborane (stars), *closo*-1,7-metacarborane (squares), *closo*-1,12-paracarborane (triangles) computed using the PM3 semiempirical method (red) and density functional theory (DFT) (black). 1, 2, 3,4 and 5 represent hydrogen remove from site (9 or 10), (4, 6, 8 or 11), (5 or12), (2 or 3) and (1 or 7) for closo-1,7-metacarborane accordingly and (8 or 10); (9 or 12); (3 or 6); (4, 5, 7 or 11) or (1 or 2) for closo-1,2-orthocarborane accordingly, using the numbering scheme in the Figure 1. For *closo*-1,12-paracarborane, 1 represent hydrogen removed from boron atom sites, while 2 are carbon atom sites.

Fig. 13 Energetics of closo-1,2-orthocarborane (E_o), closo-1,7-metacarborane (E_m) and closo-1,12-paracarborane (E_p) neutral and ion fragmentation. All energies were calculated using density functional theory and given in units of eV/molecule.

REFERENCES

- [1] Caruso, A. N.; Billa, R. B.; Balaz, S.; Brand J. I.; Dowben, P. A. J. Phys.: Condens. Matter. **2004**, *16*, L139-L146.
- [2] Robertson, B. W.; Adenwalla, S.; Harken, A.; Welsch, P.; Brand, J. I.; Dowben, P. A.; Claassen, J.P. Appl. Phys. Lett. 2002, 80, 3644-3646.
- Robertson, B. W.; Adenwalla, S.; Harken, A.; Welsch, P.; Brand, J. I.; Claassen, J. P.; Boag, N.
 M.; Dowben, P. A. Advances in Neutron Scattering Instrumentation, Anderson, I.S.; Guérard,
 B., Eds. Proc. SPIE 2002, 4785, 226-233.
- [4] Adenwalla, S.; Billa, R.; Brand, J. I.; Day, E.; Diaz, M. J.; Harken, A.; McMullen-Gunn, A. S.; Padmanabhan, R.; Robertson, B. W. *Penetrating Radiation Systems and Applications V, Proc. SPIE* **2003**, *5199*, 70-74.
- [5] Osberg, K.; Schemm, N.; Balkir, S.; Brand, J. I.; Hallbeck, S.; Dowben, P. A.; Hoffman, M.W. IEEE Sensors Journal 2006, 6, 1531-1538.
- [6] Caruso, A. N.; Dowben, P. A.; Balkir, S.; Schemm, N.; Osberg, K.; Fairchild, R. W.; Barrios Flores, O.; Balaz, S.; Harken, A. D.; Robertson, B.W.; Brand, J. I. *Mater. Sci. Eng., B* **2006**, 135, 129-133.
- [7] Day, E.; Diaz, M. J.; Adenwalla, S. J. Phys. D: Appl. Phys. 2006, 39, 2920-2924.
- [8] Balaz, S.; Dimov, D. I.; Boag, N. M.; Nelson, K.; Montag, B.; Brand, J. I. and Dowben, P. A. Appl. Phys. A 2006 84, 149-159.
- [9] Lunca-Popa, P.; Brand, J.I.; Balaz, S.; Rosa, L. G.; Boag, N. M.; Bai, M.; Robertson, B.W.; Dowben, P.A. J. Phys. D.: Appl. Phys. 2005, 38, 1248-1252.

- [10] Balaz, S.; Caruso, A. N.; Platt, N.P.; Dimov, D. I.; Boag, N. M.; Brand, J. I.; Losovyj, Ya.B.;Dowben, P. A. J. Phys. Chem. B 2007, 111, 7009-7016
- [11] Caruso, A. N.; Balaz, S.; Xu, B.; Dowben, P. A.; McMullen-Gunn, A. S.; Brand, J. I.; Losovyj,Y. B.; McIlroy, D. N. Appl. Phys. Lett. 2004, 84, 1302-1304.
- [12] Park, K.; Pederson, M. R.; Boyer, L. L.; Mei, W. N.; Sabirianov, R. F.; Zeng, X. C.; Bulusu, S.; Curran, S.; Dewald, J.; Day, E.; Adenwalla, S.; Diaz, M. J.; Rosa, L. G.; Balaz, S.; Dowben, P. A. Phys. Rev. B 2006, 73, 035109.
- [13] Hitchcock, A.P.; Urquhart, S.G.; Wen, A.T.; Kilcoyne, A.L.D.; Tyliszczak, T.; Rühl, E.; Kosugi, N.; Bozek, J.D.; Spencer, J.T.; McIlroy D. N.; Dowben, P.A. *J. Phys. Chem.* B **1997**, *101*, 3483.
- [14] (a) Hitchcock, A.P.; Neville, J.J., in "Chemical Applications of Synchrotron Radiation" (T.K. Sham, ed.) Advanced Series in Physical Chemistry Vol. 12A, (World Scientific, Singapore, **2002**) 154-227; (b) Langer, B.; Berrah, N.; Farhat, A.; Hemmers, O.; Bozek, J. D. *Phys. Rev. A* **1996**, *53*, R1946.
- [15] Wiley, W.C; McLaren, I.H. Rev. Sci Instrum. 1955, 26, 1150.
- [16] Yakovkin, I.N.; Dowben, P.A. Surface Review and Letters 2007, 14, 481-487
- [17] Hitchcock, A.P.; Wen, A.T.; Lee, S.; Glass jr. J.A.; Spencer J.T.; Dowben, P.A. J. Phys. Chem.1993, 97, 8171.
- [18] Lee, S.W.; Mazurowski, J.; O'Brien, W.L.; Dong, Q.Y.; Jia, J.J; Callcott, T.A.; Tan, Y.X.; Miyano, K.E.; Ederer, D.L.; Mueller, D.R; Dowben, P.A. *J. of Appl. Phys.* **1993**, *74*, 6919; Jiménez, I.; Sutherland, D.G.J.; van Buuren, T.; Carlisle, J.A.; Terminello, L.J.; Himpsel, F.J. *Phys. Rev. B* **1998**, *57*, 13167
- [19] Vasyukova, N.I.; Nekrasov, Y.S.; Sukharev, Y.N.; Mazunov, V.A.; Sergeev, Y.L.; Akad. Izv.

- [20] Ardini, L.C.; Fehlner, T.P. *Int. J. Mass. Spec. Ion Phys.* **1972**, 10, 489; NIST Mass Spectrometry Data Center Collection, 2006 copyright by the U.S. Secretary of Commerce on behalf of the United States of America, http://webbook.nist.gov/cgi/cbook.cgi?ID=C16986246&Units=SI&Mask=200#Mass-Spec
- [21] Allison, D. C.; Johansson, G.; Allan, C. J.; Gelius, U.; Siegbahn, H.; Allison, J.; Siegbahn, K. J. Electron Spectrosc. Relat. Phenom. 1972, 1, 269.
- [22] Kime, Y.J.; Driscoll, D.C.; Dowben, P.A. J.C.S. Faraday Transactions II 1987, 83,403; Driscoll, D.C.; Bishop, J.A.; Sturm, B.J.; Dowben, P.A.; Olsen, C.G. J. Vac. Sci. Technol. 1986, A4, 823.
- [23] Barfuss, S.; Grade, M.; Hirschwald, W.; Rosinger, W.; Boag, N.M.; Driscoll, D.C.; Dowben, P.A. *J. Vac. Sci. Technol.* **1987**, A5, 1451; Stauf, G.; Driscoll, D.C.; Dowben, P.A.; Barfuss, S.; Grade, M. *Thin Solid Films* **1987**, 153, 421–430; Barfuss, S.; Emrich, K.–H.; Hirschwald, W.; Dowben, P.A.; Boag, N.M. *J. Organometallic Chem.* **1990**, 391, 204–218.
- [24] Grade, M.; Rosinger, W.; Dowben, P.A. Ber. Bunsenges. Phys. Chem. 1984, 88, 65–71; Stauf, G.T.; LaGraffe, D.; Dowben, P.A.; Emrich, K.; Barfuss, S.; Hirschwald, W.; Boag, N.M. Zeitschrift für Naturforschung A 1988, 43, 758–764; Stauf, G.T.; Dowben, P.A.; Emrich, K.; Barfuss, S.; Hirschwald, W.; Boag, N.M. J. Phys. Chem. 1989, 93, 749–753; Emrich, Karl–Heinz; Stauf, G.T.; Hirschwald, W.; Barfuss, S.; Dowben, P.A.; Birge, R.R.; Boag, N.M. Mat. Res. Soc. Symp. Proc. Chemical Perspectives of Microelectronic Materials, eds. M. Gross, J.M. Jasinski and J. Yates 1989, 131,401–406
- [25] in preparation